



2021 AUDITING REQUIREMENTS

2021 Requirements Jan 1 - Dec 31	Sampling Required	Sampling Methods	Options Available	Alberta	Sask.	British Columbia
External Audits						
External Audits that are <u>Doc only</u> will result in a 1 Year COR	Minimum requirement = 3 months of documents	Remote/virtual doc reviews		✓	✓	TBD
External Audits including Doc review and interviews will result in a 3 Year COR	Minimum requirement = 6 months of documents over previous 12-month period	Virtual / Remote	If practical, remote observations may be conducted	✓	✓	TBD
On-Site Audits <ul style="list-style-type: none"> • <i>AB/SK - Requires to be audited according to Safe Auditing Guidelines (in development)</i> • <i>BC - Requires both auditor and employer have WSBC Safe Plan in place</i> External Audits including Doc, Int & Observations onsite will result in a 3 Year COR	As above	Auditor/employer agree that on-site audit can be conducted safely		✓	✓	✓

2021 Requirements Jan 1 - Dec 31	Sampling Required	Sampling Methods	Options Available	Alberta	Sask.	British Columbia
Maintenance Audits						
Maintenance Audits that are <u>Doc only</u> will result in maintenance of COR	Minimum requirement = 3 months of documents	Remote/virtual doc reviews	Include remote interviews, Obs. when possible	✓	✓	TBD
On-Site Audits <ul style="list-style-type: none"> • <i>AB/SK - Requires to be audited according to Safe Auditing Guidelines (in development)</i> • <i>BC - Requires both auditor and employer have WSBC Safe Plan in place</i> Maintenance Audits including Doc, Int & Observations on-site will result in maintaining the COR	As above	Auditor/employer agree that on-site audit can be conducted safely	Documentation and Interviews can still be done Remote/Virtual	✓	✓	✓