



NATIONAL OR INTERNATIONAL STANDARD EQUIVALENCY (NISE)

Effective Date: 2019 - 01 - 15
Owned by: Safety Audits and Certification Department

Approval: _____
Approved By: Justin Degagne, SA&C Acting Manager

Valid Until: 2020 - 01 - 15

Sensitivity Level: Public



SUMMARY OF CHANGES

This Summary shows:

- All changes from last approved and published document
- The location within the document where the changes have been made

Location of Change	Summary of Change
Entire Document	This is the first revision of the National or International Standard Equivalency (NISE). This revision brings the Procedure template into alignment with the templates used by the Governing Documents Framework.

 Requirements changed in the new revision will be identified with a revision triangle beside it.

SUMMARY OF REVIEWERS

The following people were involved in the review of this Procedure.

Name	Position
Justin Degagne	Acting Manager, Safety Audits and Certifications
SA&C Team	Subject Matter Experts (SME)

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1.0 ABOUT THIS PROCEDURE

1.1 Purpose and Direction

Energy Safety Canada acknowledges the value of Occupational Health and Safety Management System (OHSMS) standards recognized by national and international organizations, such as the Canadian Standards Association (CSA), the British Standards Institute (BSI), and the International Organization for Standardization (ISO). Though 100% equivalencies between these standards and the Energy Safety Canada standards around audit content, process, and sampling may not be verified, it was proposed that audits completed for nationally or internationally recognized OHSMS certifications could be approved for use as Certificate of Recognition (COR) maintenance audits.

Energy Safety Canada Reference: SAC-MNL-001
Alberta Government Reference: 1.20

1.2 Scope

Employers may be eligible to use nationally and internationally recognized occupational health and safety audits to maintain their COR certificate. Standards to be used for COR maintenance under this standard must be approved by Energy Safety Canada and, where required, the applicable governing bodies.

1.3 Target Audience

The target audience for this document is all Energy Safety Canada personnel in any work location. This includes Employers and Auditors.

2.0 PROCEDURE

2.1 Maintenance audit equivalencies may be granted for nationally and internationally recognized audit systems (e.g. CSA Z1000, OHSAS 18001, ISO 45001) that are designed to measure occupational health and safety systems, and must include:

- A requirement that auditors are trained and certified by a national/international body acceptable to Energy Safety Canada and any applicable governing body
- A quality assurance of the auditor's deliverables and subsequent acceptance by the national/international certifying body/registrar

2.2 National/international OHSMS standards must be approved for use by Energy Safety Canada prior to their use under this procedure. The governing body will maintain a list of OHSMS standards approved for use as a maintenance option.

2.3 Before an employer can submit an audit conducted for a national/international certification body as a COR maintenance audit, they must receive approval from Energy Safety Canada. Eligibility considerations would include but are not limited to:

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- The employer's current COR must be in good standing, and have been active for a minimum of four (4) consecutive years
 - The employer must have achieved a minimum score of 90% overall on their last recertification audit
 - The standard/audit to be used for COR maintenance must be on the list of approved national/international standards maintained by the governing body where applicable
 - The auditor(s) must have been trained and certified by a national/international body acceptable to Energy Safety Canada and, where required the applicable governing body
- 2.4 The on-site audit activities (documentation review, employee interviews, and site observations) conducted as part of the audit process must be completed before December 31 of the calendar year.
- 2.5 Within 45 days of the last day of audit activities, the employer must submit documented verification to Energy Safety Canada that the audit was completed.
- 2.6 This verification must include the audit scope, audit dates, and auditor name(s), and the audit scope must be representative of the COR being maintained.
- 2.7 The employer must submit the audit deliverables, such as a final approved report, from the certifying body/registrar before Energy Safety Canada can submit the audit to the applicable governing body on their behalf.
- 2.8 These results must be received and reviewed by Energy Safety Canada. At a minimum, this review must include verification of the following:
- the audit scope
 - the name of the auditor(s)
 - audit dates
 - successful completion of the certifying body's certification process
- 2.9 This maintenance option is only available to regular COR holders in participating jurisdictions. Please contact Energy Safety Canada to confirm availability in your province or state.

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3.0 ROLES AND RESPONSIBILITIES

Employer	<ul style="list-style-type: none">• Submits a NISE application to Energy Safety Canada using the approved application form by June 30 of the calendar year• Receives approval from Energy Safety Canada to perform NISE in lieu of a maintenance audit• Submits documentation verification from the certifying body/registrar that the audit was completed successfully
Energy Safety Canada	<ul style="list-style-type: none">• Reviews and approves the NISE application submitted by the employer.<ul style="list-style-type: none">◦ Changes or additions to the application submission may be requested by Energy Safety Canada• Perform a QA Review of the submission that includes verification of:<ul style="list-style-type: none">◦ the audit scope◦ the name of the auditor(s)◦ audit dates◦ successful completion of the certifying body's certification process• Officially notifies the employer and any applicable governing bodies of the successful/unsuccessful maintenance of their COR

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