



COR EQUIVALENCY FOR ISO/CSA CERTIFICATION

Effective Date: June 15, 2020

Owned by: Juliet Goodwin
Manager, Safety Audits & Certifications

Approval: *Juliet Goodwin*

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Manager, Safety Audits & Certifications

Valid Until: June 15, 2023

Sensitivity Level: Public

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SUMMARY OF CHANGES

This Summary shows:

- All changes from last approved and published document
- The location within the document where the changes have been made

Version	Date	Author(s)	Revision Notes
1.0	March 11, 2020	Melissa Mass	This is the first revision of the COR Equivalency for ISO/CSA Certification. This revision brings the Procedure template into alignment with the templates used by the Governing Documents Framework.

 Requirements changed in the new revision will be identified with a revision triangle beside it.

SUMMARY OF REVIEWERS

The following people were involved in the review of this Procedure.

Name	Position
Juliet Goodwin	Manager, Safety Audits and Certifications
SA&C Team	Subject Matter Experts (SME)

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1.0 ABOUT THIS PROCEDURE

1.1 Purpose and Direction

Employers certified to meet either the International Organization for Standardization (ISO) or the Canadian Standards Association (CSA) standards for occupational health and safety (OHS) management systems, are eligible to apply to Energy Safety Canada for Certificate of Recognition (COR) certification.¹

1.2 Scope

The COR program underwent a comprehensive evaluation in 2018 and one of the seven proposals for change was to recognize both ISO and CSA standards for OHS management systems as equivalent to the provincial COR audit standard, and allow eligible employers certified to these standards to apply for COR certification.

The following standards are recognized as equivalent:

- ISO 45001:2018 was adopted by ISO in 2018, and is the international standard for OHS management systems
- CSA Z45001:19 was introduced in 2019 as a Canadian version of the ISO 45001 standard, and includes some additional requirements
- CSA Z1000:14 is an older Canadian standard for OHS management systems which will be retired in 2021

To be considered for COR equivalency, the ISO or CSA certification must be issued from a designated certification body (e.g. British Standards Institution, AQC Canada Inc.) as recognized for this purpose by ISO or CSA.

COR equivalency will be offered for both COR certification and maintenance purposes.

1.3 Target Audience

The target audience for this document is all Energy Safety Canada personnel in any work location. This includes Employers and Auditors.

2.0 PROCEDURE

2.1 The following standards will be considered as equivalent to the COR audit standard:

- ISO 45001:2018
- CSA Z45001:19
- CSA Z1000:14

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- 2.2** Employers may apply to Energy Safety Canada for COR certification if they hold ISO certification (ISO 45001:2018) or CSA certification (CSA Z45001:19 or CSA Z1000:14) from a designated certification body.
- 2.2.1 The employer must provide Energy Safety Canada with documentation to confirm they have ISO or CSA certification.
- 2.2.2 The employer's ISO or CSA audit deliverables may be subject to review by Energy Safety Canada on request.
- 2.3** For COR certification, Energy Safety Canada must review and approve the employer's application, including verification of the following:
- employer has a valid ISO or CSA certificate
 - the certificate must be issued from an ISO or CSA designated certification body
 - confirm the audit scope
 - name of the auditor(s)
- 2.4** For approved applications, a regular three-year COR will be issued to match the scope of the employer's operations that were included in their ISO or CSA audit.
- 2.5** If some of the employer's operations were not included in scope of the ISO or CSA audit, then COR certification will be restricted to the industry(s) covered (for a multiple industry account), or to the site-specific operations covered if only part of the employer's industry code is in scope.
- 2.6** For COR maintenance purposes, an employer may use their annual ISO or CSA maintenance activities (e.g. annual surveillance audits) to meet COR requirements.
- 2.7** The employer must provide Energy Safety Canada with documentation to demonstrate they have maintained their ISO or CSA certification for each maintenance year by their COR certificate's anniversary date.
- 2.8** The employer's ISO or CSA maintenance deliverables may be subject to review by Energy Safety Canada upon request.
- 2.9** If an employer fails to maintain ISO or CSA certification, the employer must notify the Energy Safety Canada immediately.

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3.0 ROLES AND RESPONSIBILITIES

<p>Employer</p>	<ul style="list-style-type: none"> • Submits a COR Equivalency for ISO/CSA Certification application to Energy Safety Canada using the approved application form • Receives approval from Energy Safety Canada to use their ISO or CSA certification for COR equivalency • Submits documentation verification from the certifying body/registrar that the audit was completed successfully
<p>Energy Safety Canada</p>	<ul style="list-style-type: none"> • Reviews and approves the COR Equivalency for ISO/CSA Certification application submitted by the employer. <ul style="list-style-type: none"> o Changes or additions to the application submission may be requested by Energy Safety Canada • Perform a QA Review of the submission that includes verification of: <ul style="list-style-type: none"> o the employer having a valid ISO or CSA certificate o the certificate being issued from an ISO or CSA designated certification o the audit scope. Ensuring that the scope of the COR issued matches the scope of the ISO or CSA certification audit o the name of the auditor(s) • Officially notifies the employer and any applicable governing bodies of the successful/unsuccessful maintenance or recertification of their COR

4.0 REFERENCE DOCUMENTS

¹ SAC-MNL-001 Department Manual

² SAC-CFT-097 COR Equivalency for ISO/CSA Certification Request Form

Alberta Government Reference: [1.23 COR Equivalency for ISO/CSA Certification](#)

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